

M/s Stratagem Stock Broker Private Limited

Reg. Off. 222, 1st Floor Subhash Nagar, Rohtak – 124001

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Policy for Unauthentic news circulation

In view of SEBI Circular Cir/ ISD/1/2011, dated March 23, 2011 and Cir/ISD/2/2011 dated March 24, 2011 on the subject 'Unauthenticated news circulated by SEBI registered market intermediaries through various modes of communication the firm has decided to adopt following Internal checks :

- Firm permits the usage of Internet in office premises for official purposes only.
- No websites other than the websites of Firm, Exchanges, Banks, SEBI, NSDL/CDSL, Income Tax etc shall allowed to be accessed in office premises.
- Social Networking sites, chats, messengers SMS messaging shall not be allowed on office computers.
- Emails Accounts created on Firm domain shall be used for official purpose only.
- If any employees possess any price sensitive information about any stock same shall be brought to the notice of the compliance officer and in no case same shall be passed on to any client or acted upon by the employee to take exposure in his / his relatives accounts.
- As a policy Firm does not give any stock specific recommendation to any of the clients of the Firm and all employees are expected not to give any such recommendation whether gained in Firm or from outside.

For Stratagem Stock Broker (P) LTd.

(Compliance Officer)